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CENTRE FOR THE FUTURE STATE

NEWSLETTER AUG 08

DIRECTOR'S REPORT



Prof. Mick Moore

Colleagues,

Most of you attended the Annual Meeting in June, and will therefore be well aware that I think the DRC is very much 'on a roll', i.e. doing very well. Like many of you, I was especially buoyed up by the Annual Meeting itself. It was such delight to hear so many high quality research presentations, especially from our younger researchers. The Capacity Building Training Workshops that had preceded the Annual Meeting helped establish the right atmosphere. Before that, we received a very good report from the Mid-Term Review Team.

Later news that has buoyed me up personally concerns the impact of the 'tax book' – *Taxation and State Building in Developing Countries*. I am not going to repeat any stories here. Please go to the web site – (<http://www2.ids.ac.uk/gdr/cfs/TaxNews/pretoria.html>) - for an account of a recent conference in Pretoria.

There are some comings and goings. Camilla Walsh is now on maternity leave. We wish her well at the forthcoming birth. Adam Randon is well established as project coordinator. Adam is the man to contact over communication issues. Linda Bateman is busy with a large number of forthcoming publications. But she confessed to me recently that she works better when

really busy, so please keep the draft publications coming. Suchi Pande will soon be at IDS to take up her Commonwealth Scholarship.

As you learned at the Annual Meeting, ManCom have decided to go considerably over-budget in the current financial year (April 2008-March 2009) in order to try to complete most field research, so that we can concentrate in the last 15 months of current funding on publications and policy influencing work. We are aiming largely to complete all existing commitments by the end of June 2010. It is not that far away. To help us plan for that in more detail, members of our CARG (Centre Advisory and Review Group) will be attending the next ManCom meeting in February 2009.

Let me end with a customary plea. We could collectively do a little better in (a) active policy dissemination activities and (b) reporting those activities to Adam, so that we can in turn get the right boxes ticked in DFID – and thereby earn merit in the next life. To disseminate interesting research findings to 'policymakers', you don't have to write up anything long or elaborate. We can organise that here at IDS. Just send us a draft. And don't await detailed or sophisticated findings. The position of DFID at least can be summarised as follows: "We know what research is like. We don't normally expect you to give us the definitive policy answer about, for example, informal institutions in Pakistan. Just give us something to think about and exercise our minds – a new finding, something different from what people previously believed, a dilemma that we have to face, etc."

Good researching to all of you.
Regards, Mick

RECENT EVENTS & MEETINGS

- IDS Research Fellow Neil McCulloch presented his team's Programme 1 PAPI work on the Political Economy of the Investment Climate in two cities in Indonesia to a seminar at the Australian National University (ANU) at the beginning of August 2008.

CURRENT RESEARCH PROJECTS

PROGRAMME 1 – HUBERT SCHMITZ

- **Investment Climate in Africa:** Jing Gu and John Humphrey (IDS UK)
- **Particularistic Property Rights Regime in China: Relationships between Private Business and the State since the 1990s:** Eun Kyong Choi (IDS UK)
- **The Political Economy of the Local Investment Climate in Indonesia:** Neil McCulloch (IDS UK) and Christian von Luebke (Australian National University - ANU)
- **The Politics of Private Industrial Investment in Pakistan in Karachi:** Asad Sayeed (Collective for Social Science Research, Karachi - CSSR)
- **Public Action and Private Investment in Brazil:** Alvaro Comin, Claudio Amitrano and Carlos Torres Freire (Centro Brasileiro de Análise e Planejamento - CEBRAP)
- **The Role of Public Private Partnership (PPP) in Explaining Success or Failure in Productive Investment – A conceptual Framework and Empirical Testing for the Case of Egypt:** Abla Abdel Latif (American University of Cairo).

PROGRAMME 2 – ANURADHA JOSHI & ADRIAN GURZA LAVALLE

- **Mapping of Health Service Delivery in Accra and Tamale:** Ronald Adamtey (Centre for Policy and Social Engineering - CEPRESE, Ghana)
- **Collective Action around Education Service Delivery in the Cities of Accra and Tamale in Ghana: The role of Non-Government Actors:** Gideon S Hosu-Porbley (CEPRESE, Ghana)
- **Modes of Service Delivery, Collective Action, and Societal Regulation: Making Public Services Responsive to the Poor in Brazil, India and Mexico:** Peter Houtzager, Anuradha Joshi (IDS UK) and Monika Dowbor (CEBRAP)
- **A Comparative Mapping of Chennai and Bangalore:** Karen Coelho and M. Vijaybhaskar (Madras Institute of Development Studies (MIDS))

PROGRAMME 3 – ALI CHEEMA & ANDRES MEJIA ACOSTA

- **Capacity and Donor Proliferation in Kenya:** Dorothy McCormick, Winnie Mitullah, Karuti Kanyinga and Erick Manga (IDS Kenya, University of Nairobi)
- **E-Governance at Closer Quarters: the case of the online complaint monitoring system:** Zainab Bawa (Independent Researcher)
- **Informal Local Governance Institutions:** Kripa Ananthpur (MIDS), Ali Cheema (Lahore University of Management Sciences - LUMS), and Sanjay Lodha (Mohan Lal Sukhadia University)
- **Budget Governance & State Capacity:** Andres Mejia Acosta (IDS UK)
- **Building State Capacity in Timor Leste:** Dr Andrew Rosser (IDS UK)
- **Donor Proliferation and State Capacity:** Professor Hubert Schmitz (IDS UK), Erick Manga, Dorothy McCormick, Winnie Mitullah (IDS Kenya, University of Nairobi), Lienda Loebis (Ministry of Industry, Indonesia), Jepri Edi, Ayu Setianingtiyas (University Of Indonesia).
- **Informal Institutions, State and Public Action in Asia:** Dr Kripa Ananthpur (MIDS), Professor Ali Cheema (LUMS), Sanjay Lodha (Mohan Lal Sukhadia University).
- **State Capacity and the Politics of Redress – Consultative Mechanisms in South Africa and Brazil:** Omano Edigheji (CPS)
- **The Political Economy of Public Sector 'Pockets of Productivity':** Dr David Leonard (IDS UK)

COMMUNICATIONS PROJECTS

Translating Research Findings into Policy Inputs: A Proposal to Pilot Dissemination of Future State DRC Research Findings to Policy Actors in Brazil - Monika Dowbor & Liza Serafim (CEBRAP), and Peter Houtzager, IDS

NEWS

CAPACITY BUILDING



Andrés Mejía
Acosta

With only two years left in its current guise, the aim and challenge for the Centre for the Future State (CFS) is to now complete field data collection and accelerate as far as possible the processes of data analysis, writing, publication and policy influencing.

With this aim in mind, and after the considerable success of the Capacity Building Programme and its summer training workshops over the past 3 years, the Management Committee took the decision at its meeting in June 2008 to discontinue the workshops and to phase out over time the position of Capacity Building Manager.

The Centre will retain a budget head for Capacity Building and there will continue to be money available for Capacity Building activities, but any such activity will focus on helping younger researchers finish and write up their work. To this end next year we will establish a remote mentoring and advice service, to operate out of the UK, as well as the allocation of dedicated funds to partners.

Responsibility for capacity building activities will be shared by Programme Convenors and the Director. The Centre is indebted to the efforts of Peter Houtzager, Andrés Mejía Acosta and Linda Bateman for all their efforts in setting up and running the programme over the last three years. The CFS also praises the efforts of the CFS Management Committee, Convenors, seminar instructors, student assistants, seminar participants and partnering institutions in the South.

VISITING FELLOWS AT IDS



Padmini
Swaminathan

Padmini Swaminathan, Professor at the Madras Institute of Development Studies (MIDS) and Centre for the Future State Management Committee member, was at IDS from 25 November to 1 December 2007 to discuss the

research and capacity building partnership between the MIDS and the Development Research Centre for the Future State.

Graziela Castello, CEBRAP researcher, spent three months at IDS, from May-July 2008, to write up co-authored papers under the Programme 2 project: Modes of Service Delivery, Collective Action, and Social Accountability. Completed July 2008.

Monika Dowbor, CEBRAP researcher, spent two months at IDS, from May-June 2008, to write up co-authored papers under the Programme 2 project: Modes of Service Delivery, Collective Action, and Social Accountability. Completed June 2008.

POLICY IMPACT

Mick Moore presented to the *'International Conference on Taxation, State Building and Capacity Development in Africa'* in Pretoria. Organised jointly by SARS and the OECD in August 2008 the conference represented an important milestone in addressing issues of taxation and governance in Africa. More information is available on the CFS Website.

In July 2008 Mick Moore participated in a DFID meeting on political analysis.

Mick Moore presented to the 10th annual tax conference of the Chartered Institute of Taxation of Nigeria, May 2008.

In May 2008 Mick Moore gave a presentation at a seminar on Taxation and Governance to the HMRC and UK Treasury departments

On 4th March 2008, Mick Moore spoke and submitted written evidence to the Commons International Development Committee inquiry into coordination for aid effectiveness. The invitation to do so stemmed from DRC research on 'aid proliferation'.

On Thursday, February 14, 2008, Mick Moore attended the AEI conference *Taxation, Representation, and Growth in Developing Countries: Is Foreign Aid Similar to the Resource Curse?* held in Washington D.C.

POLICY IMPACT (cont.)

In June 2008, IDS Research Fellow Dr Jing Gu and researchers from the China-Africa Business Council and the Chinese Academy of Social Sciences met the UNDP Deputy Representative in Ghana, Mr. Shigeki Komatsubara. A range of issues were discussed such as the nature of Chinese engagement in Africa, China and development policy, the implementation of the Chinese government's 'Going Global' policy, the role of the private sector in African development, and the pull and push factors affecting Chinese business decisions to invest. There was a shared view that there is a need for greater participation in social development by Chinese enterprises in Ghana. This meeting forms part of the fieldwork for the project 'China's Private Sector Investment in Africa' conducted by Jing Gu and Chinese collaborators in Ghana, Nigeria and Madagascar.



Dr Jing Gu with UNDP Deputy Representative in Ghana, Mr. Shigeki Komatsubara, and CASS Professor Song Hong from CASS and Dr Ge Kaiyong from CABC

As you can see Mick has been very busy working to inform policy, and many thanks to Jing who let us know about her work in Africa. We are keen for all those involved in our projects and programmes to tell us about their efforts to use their research findings to inform policy makers and policy decisions. If you have a story please share it with us.

RECENT PUBLICATIONS

Ananthpur, Kripa (2008) **Dynamics of Local Governance in Karnataka**, in Gopal Kadekodi, Ravi Kanbur and Vijayendra Rao (Eds) *Development in Karnataka: Challenges of Governance, Equity and Empowerment*, New Delhi: Academic Foundation.

Arellano, Javier (2008) **Resurgimiento Minero en Peru: una version moderna de una vieja maldicion?** (Translated: **Mining Revival in Peru: A Modern Version of an Old Curse?**, Colombia Internaccional No 67: pp.60-83 (available in Spanish only) .

Arellano-Yanguas, Javier (2008) **A Thoroughly Modern Resource Curse? The New Natural Resource Policy Agenda and the Mining Revival in Peru**, IDS Working Paper 300, Brighton: IDS, March.

Brautigam, D., Fjeldstad, O-H and Moore, M. 2008. **Taxation and State Building in Developing Countries: Capacity and Consent**, Cambridge: Cambridge University Press.

Chakrabarti, P. 2008, **Inclusion or Exclusion? Emerging Effects of Middle-Class Citizen Participant on Delhi's Urban Poor** in P. Houtzager, A. Joshi and A. Gurza Lavalle (eds) *State Reform and Social Accountability: Brazil, India and Mexico* , IDS Bulletin Vol 38, No 6, Brighton: IDS, January.

Dowbor, M. (2008) **Origins of Successful Health Sector Reform Public Health Professionals and Institutional Opportunities in Brazil** in P. Houtzager, A. Joshi and A. Gurza Lavalle (eds) *State Reform and Social Accountability: Brazil, India and Mexico* , IDS Bulletin Vol 38, No 6, Brighton: IDS, January.

Fjeldstad, O-H and Moore, M.P. (2008) **Revenue Authorities and State Capacity in Anglophone Africa**, *CMI Working Paper 2008:1*, Norway: CMI.

Fjeldstad, O-H and Moore, M. 2008 **'Tax reform and state-building in a globalised world'** Ch 10 in Deborah Brautigam, Odd-Helge Fjeldstad and Mick Moore (eds) **Taxation and State Building in Developing Countries: Capacity and Consent**, Cambridge: Cambridge University Press.

Gomez-Jauregui (2008) **'Participation in Reproductive Health Policies in the Context of Health System Reform in Mexico'** in P. Houtzager, A. Joshi and A. Gurza Lavalle (eds) *State Reform and Social Accountability: Brazil, India and Mexico* , IDS Bulletin Vol 38, No 6, Brighton: IDS, January.

Gopal Jayal, N. (2008) **'New Directions in Theorising Social Accountability?'** in P. Houtzager, A. Joshi and A. Gurza Lavalle (eds) *State Reform and Social Accountability: Brazil, India and Mexico* , IDS Bulletin Vol 38, No 6, Brighton: IDS, January.

Gurza Lavalle, A. Castello, G. and Mirandola Bichir, R. (2008) **'The Backstage of Civil Society: Protagonisms, Networks, and Affinities between Civil Organisations in Sao Paulo'**, IDS Working Paper 299, Brighton: IDS.

Gurza Lavalle, A. (2008) **'Contextual Politics of Service Delivery Reforms: Lessons from Delhi, Mexico City and Sao Paulo Comparison'** in P. Houtzager, A. Joshi and A. Gurza Lavalle (eds) *State Reform and Social Accountability: Brazil, India and Mexico* , IDS Bulletin Vol 38, No 6, Brighton: IDS, January.

Gurza Lavalle, Adrian and Castello, Graziela. Sociedade Civil, **Representação e a Dupla Face da Accountability**: Cidade do México. São Paulo?. CADERNO CRH, UFBa, Salvador, v. 21, n. 52, pp.67-86, Jan./Abr.2008

Gurza Lavalle, Adrian and Cicero Araújo: **O debate sobre a representação Política no Brazil: Nota introdutória**. CADERNOCRH,UFBaSalvador, v. 21, n.52,p.9-12,Jan./Abr.2008.

Gurza Lavalle, Adrian and Cicero Araújo (Especial Editors). **Revisitando a Representação Política no Brazil**. CADERNO CRH, UFBaSalvador, v. 21, n. 52, Jan./Abr. 2008.

Hevia de la Jara, F. (2008) **'Between Individual and Collective Action: Citizen Participation and Public Oversight in Mexico's Oportunidades Programme'** in P. Houtzager, A. Joshi and A. Gurza Lavalle (eds) *State Reform and Social Accountability: Brazil, India and Mexico* , IDS Bulletin Vol 38, No 6, Brighton: IDS, January.

Peter P. Houtzager and Adrian Gurza Lavalle. Forthcoming. **Participatory Governance and the Challenge of Assumed Representation in Brazil**. IDS Working Paper.

Adrian Gurza Lavalle, Peter P. Houtzager, and Graziela Castello. Forthcoming. **A Construção Política das Sociedades Cívicas**. In Adrián Gurza Lavalle, editor, *O Horizonte da Política: Questões Emergentes e Agendas de Pesquisa*. São Paulo: Cosac & Naify Edições.

Peter P. Houtzager and Adrián Gurza Lavalle. Forthcoming. **The Paradox of Civil Society Representation: Constructing New Forms of Democratic Legitimacy in Brazil**. In Olle Tornquist, eds., *Rethinking Popular-Democratic Representation*. Hampshire, UK: Palgrave.

Peter P. Houtzager and Arnab K. Acharya. Article under review. **The Contribution Associations Make to Citizenship: Comparative Evidence from São Paulo and Mexico City**.

Houtzager, P. (2008) **'Introduction: Contours of a Research Project and Early Findings'** in P. Houtzager, A. Joshi and A. Gurza Lavalle (eds) *State Reform and Social Accountability: Brazil, India and Mexico* , IDS Bulletin Vol 38, No 6, Brighton: IDS, January.

Houtzager, P. (2008) **'The Silent Revolution in Anti-Poverty Programmes: Minimum Income Guarantees in Brazil'** in P. Houtzager, A. Joshi and A. Gurza Lavalle (eds) *State Reform and Social Accountability: Brazil, India and Mexico* , IDS Bulletin Vol 38, No 6, Brighton: IDS, January.

Houtzager, P. and Acharya, A. (2008) **'Big Governance Research: Institutional Constraints, the Validity Gap and BIM'** in P. Houtzager, A. Joshi and A. Gurza Lavalle (eds) *State Reform and Social Accountability: Brazil, India and Mexico*, IDS Bulletin Vol 38, No 6, Brighton: IDS, January.

Houtzager, P., Joshi, A. and Gurza Lavalle, A. (eds) (2008) **State Reform and Social Accountability: Brazil, India and Mexico**, *IDS Bulletin* Vol 38 No 6, Brighton: IDS, January.

Joshi, A. (2008) **'Producing Social Accountability? The Impact of Service Delivery Reforms'** in P. Houtzager, A. Joshi and A. Gurza Lavalle (eds) *State Reform and Social Accountability: Brazil, India and Mexico* , IDS Bulletin Vol 38, No 6, Brighton: IDS, January.

Joshi, A. and Ayee, J. 2008 'Associational taxation: A pathway into the informal sector?' Ch 8 in Deborah Brautigam, Odd-Helge Fjeldstad and Mick Moore (eds) **Taxation and State Building in Developing Countries: Capacity and Consent**, Cambridge: Cambridge.

K. Kalpana 2008 **The Vulnerability of 'Self-Help': Women and Microfinance in South India**, IDS Working Paper No 303, May.

Kamunyi, Sheila (2007) **'A Growing Space for Dialogue: the Case of Street Vending in Nairobi's Central Business District'** (unpublished thesis). Support and funding was provided by the DRC during the thesis process.

Leonard, D. (2008) **Where Are 'Pockets' of Effective Agencies Likely in Weak Governance States and Why?**, IDS Working Paper 306, Brighton, IDS.

MacPherson, E. (2008) **'Invisible Agents: Women in Service Delivery Reforms'** in P. Houtzager, A. Joshi and A. Gurza Lavalle (eds) *State Reform and Social Accountability: Brazil, India and Mexico* , IDS Bulletin Vol 38, No 6, Brighton: IDS, January.

Mehta, A. (2008) **'Good Effort, But Must Try Harder: Civil Society Organisations and Education in Delhi'** in P. Houtzager, A. Joshi and A. Gurza Lavalle (eds) *State Reform and Social Accountability: Brazil, India and Mexico*, IDS Bulletin Vol 38, No 6, Brighton: IDS, January.

Natraj, V.K. and Ganesh Prasad, G.S. (2008) **Situating the state in rural India**, *The Hindu* – Wednesday 30 January 2008.

Mejia Acosta, Andres and Renzio, Paolo de (2008) **Aid, Rents and the Politics of the Budget Process**, IDS Working Paper 311, Brighton: IDS.

Moore, M. 2008, 'Between coercion and contract: Competing narratives on taxation and governance' Ch 2 in Deborah Brautigam, Odd-Helge Fjeldstad and Mick Moore (eds) **Taxation and State Building in Developing Countries: Capacity and Consent**, Cambridge: Cambridge University Press.

Moore, M. and Schmitz, H. (2008) **Idealism, Realism and the Investment Climate in Developing Countries**, IDS Working Paper 307, Brighton: IDS, June.

Pande, S. (2008) 'The Rights to Information and Societal Accountability: The Case of the Delhi PDS Campaign' in P. Houtzager, A. Joshi and A. Gurza Lavalle (eds) *State Reform and Social Accountability: Brazil, India and Mexico*, IDS Bulletin Vol 38, No 6, Brighton: IDS, January.

SPOTLIGHT

COMMONWEALTH SCHOLARSHIP



Erick Oluch Manga
– in search of a
career in
development studies

My name is Erick Oluoch Manga from the Institute for Development Studies, University of Nairobi, Kenya. I have just graduated from the Institute with an MA Degree in Development Studies. Besides, I hold a Post Graduate Diploma in Planning

and Management of Development Projects from the Catholic University of Eastern Africa and a Bachelor of Philosophy from Urbaniana

University in Rome. I am currently working at the Institute as a project assistant under "Donor Proliferation and Coordination: Effects on State Capacity" research project. This is one of the initiatives undertaken in collaboration with the Centre for the Future State at the Institute of Development Studies, University of Sussex. It falls under programme three which assesses state capacity. The project's overall objective is to come up with findings which can be used to engage policy makers on how best foreign aid can be administered in the recipient countries. I am also partly involved in Clothing and Footwear Research Network which covers four African countries (Ethiopia, Kenya, Uganda, Tanzania and South Africa). I assist the network's international coordinator to handle administrative issues at the secretariat based at IDS,

Nairobi. The network's overall goal is also to come up with policies that can be used to engage stakeholders in the clothing and footwear industry in Africa.

My involvement in these projects has tremendously enhanced my knowledge and skills in development research which is central to my future plans. Through donor proliferation project, I have been able to benefit from the on-going capacity building programme run by the Centre, which targets young scholars from the South. I have successfully attended three training workshops which have really improved my research and writing skills. Through IDS knowledge services, I have been able to access materials which are not accessible to institutions in the South. Above all, I have been able to publish a working paper out of the study findings with other team members. The Capacity Building Programme has also exposed me to a wide network of both up-coming and senior researchers from diverse background; which is a great asset to any up-coming scholar with ambitions.

My immediate plan is to register for a PhD programme. I am optimistic that I will secure a commonwealth scholarship to study at IDS, Sussex as from next year, 2009 in case there is another opportunity to apply through the Centre. I am already working on the proposal and identified potential supervisors at IDS Sussex for guidance. In case I am not successful, my alternative plan is to either register at IDS, Nairobi with the support from the CFC Capacity Building Programme or look for another opportunity elsewhere. I expect the PhD program to deepen my knowledge and equip me with skills in development research which is critical for my future career. My desire is to work in an environment which requires in depth knowledge in development issues.

My interest in development issues began when I enrolled for a programme in Project planning and management of Development. This is when I came to understand the true

meaning of development and appreciate its significance in any society. After a period of reflection and consultations, I made up my mind to enroll for an MA programme in Development Studies at the Institute for Development Studies, University of Nairobi. This was a historic moment in my career path. It was also through IDS, Nairobi that I came to know about IDS Sussex and subsequently got involved in Donor Proliferation and Coordination research project towards the end of my MA programme. Since then, I have not regretted my career choice. The course has enabled me to appreciate the challenges affecting developing countries like Kenya for instance, weak institutions, high levels of poverty, inequality and unemployment among others, and the contribution that development studies can make towards addressing them.

I have also come to appreciate the challenges of carrying out research in my country especially when study design requires information which is only available in government offices. It is at times tedious and time consuming mainly due to poor documentation or recording in these offices. It also becomes more challenging when the officer in charge is not very cooperative. At times the information required is so scattered to the extent that the process end up incurring a lot of transaction cost. However, there is an array of hope as the situation is beginning to improve in some government offices even though it will still take time.

Besides research, I like getting involved community based initiatives. I am also an outgoing character. My hobbies include traveling, listening to music and interacting with people from diverse background-I find it both relaxing and enriching.

*Photographs by Santhosh Mathew and *Gary Edwards*